

CLIENT ASSET INFORMATION

**FALK, CORNELL & ASSOCIATES, LLP
AN ESTATE PLANNING LAW FIRM
WWW.FALKANDCORNELL.COM
865 LAUREL STREET, SUITE 4
SAN CARLOS, CA 94070**

UNMARRIED

Personal and Confidential

Please list below the names, addresses and telephone numbers of the professionals who assist you in your planning. This office may contact the professionals you have listed and offer to provide them with information regarding estate and tax planning.

My Name: _____

My certified Public Accountant: _____

Address: _____

City/State/Zip: _____

Telephone: _____

My Certified Financial Planner: _____

Address: _____

City/State/Zip: _____

Telephone: _____

My Life Insurance Professional: _____

Address: _____

City/State/Zip: _____

Telephone: _____

My Banker: _____

Address: _____

City/State/Zip: _____

Telephone: _____

My Retirement Plan Administrator: _____

Address: _____

City/State/Zip: _____

Telephone: _____

Other financial professional with whom I consult with: _____

Address: _____

City/State/Zip: _____

Telephone: _____

Thank you for your assistance.

**FALK, CORNELL & ASSOCIATES, LLP
865 LAUREL STREET, SUITE 4
SAN CARLOS, CA 94070**

CLIENT ASSET INFORMATION

UNMARRIED

NAME: _____

ADDRESS: _____

CITY: _____ STATE: _____ ZIP: _____

HOME PHONE: _____ WORK PHONE: _____

| |
|----------------------|
| CASH ACCOUNTS |
|----------------------|

CHECKING ACCOUNTS:

Please provide complete address information for each institution, or provide a copy of your latest bank statement.

| NAME AND ADDRESS OF INSTITUTION | ACCOUNT NUMBER | OWNER(S)* | BALANCE |
|---------------------------------|----------------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |

*Client Alone (C), Joint Tenants (JT), Tenants in Common (TC)

SAVINGS ACCOUNTS:

Please provide complete address information for each institution, or provide a copy of your latest bank statement.

| NAME AND ADDRESS OF INSTITUTION | ACCOUNT NUMBER | OWNER(S)* | BALANCE |
|---------------------------------|----------------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

MONEY MARKET ACCOUNTS:

Please provide complete address information for each institution, or provide a copy of your latest statement.

| NAME AND ADDRESS OF INSTITUTION | ACCOUNT NUMBER | OWNER(S)* | BALANCE |
|---------------------------------|----------------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

CERTIFICATES OF DEPOSIT:

Please provide complete address information for each institution, or provide a copy of your latest statement.

| NAME AND ADDRESS OF INSTITUTION | ACCOUNT NUMBER | OWNER(S)* | BALANCE |
|---------------------------------|----------------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

| |
|------------------------------|
| INVESTMENT SECURITIES |
|------------------------------|

BROKERAGE ACCOUNTS:

Please list all accounts with brokerage firms that hold stock certificates, bonds, mutual funds, money market accounts and CDs for you. Please provide complete address information for the brokerage firm, or provide a copy of your latest statement.

| NAME AND ADDRESS OF BROKERAGE | ACCOUNT NUMBER | OWNER(S)* | ACCOUNT VALUE |
|-------------------------------|----------------|-----------|---------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

STOCKS AND STOCK OPTIONS:

Please list all stock in publicly-traded corporations in which you hold the actual stock certificates (*this includes stock traded on an exchange or over the counter*). Enter the address to which notice of change of ownership should be directed. If you have stock options, please print a statement of the stock option information and provide a copy.

NOTE: Stock owned in family or non-publicly-traded companies should be listed under the Business Interests section.

| NAME OF STOCK AND ADDRESS FOR NOTICE | CERTIFICATE NUMBER | OWNER(S)* | FAIR MARKET VALUE |
|--------------------------------------|----------------------|-------------------------|-------------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | TYPE OF STOCK: _____ | NUMBER OF SHARES: _____ | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | TYPE OF STOCK: _____ | NUMBER OF SHARES: _____ | |

STOCKS AND STOCK OPTIONS (CONTINUED):

Please list all stock in publicly-traded corporations in which you hold the actual stock certificates (*this includes stock traded on an exchange or over the counter*). Stock owned in family or non-publicly-traded companies should be listed under the Business Interests section. Enter the address to which notice of change of ownership should be directed.

If you have stock options, please print a statement of the stock option information and provide a copy.

| NAME OF STOCK AND ADDRESS FOR NOTICE | CERTIFICATE NUMBER | OWNER(S)* | FAIR MARKET VALUE |
|--------------------------------------|----------------------|-------------------------|-------------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | TYPE OF STOCK: _____ | NUMBER OF SHARES: _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | TYPE OF STOCK: _____ | NUMBER OF SHARES: _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | TYPE OF STOCK: _____ | NUMBER OF SHARES: _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | TYPE OF STOCK: _____ | NUMBER OF SHARES: _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | TYPE OF STOCK: _____ | NUMBER OF SHARES: _____ | |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

DIVIDEND REINVESTMENT:

Please list all accounts in corporations in which you hold stock in dividend reinvestment accounts (*this includes stock traded on an exchange or over the counter*). Enter the address to which changes of ownership should be directed.

| COMPANY NAME AND ADDRESS | ACCOUNT NUMBER | OWNER(S)* | FAIR MARKET VALUE |
|--------------------------|----------------|-----------|-------------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

MUTUAL FUNDS:

Please enter the complete address to which change of ownership should be directed, or provide a copy of your latest statement.

| NAME OF FUND AND ADDRESS FOR NOTICE | ACCOUNT NUMBER | OWNER(S)* | FAIR MARKET VALUE |
|-------------------------------------|----------------|-----------|-------------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

BONDS (CORPORATE AND MUNICIPAL):

Please list all bonds where you hold the actual bond certificates. Please provide the complete address to which change of ownership should be directed, or provide a photocopy of your bond certificates.

| NAME OF BOND AND ADDRESS FOR NOTICE | OWNER(S)* | FACE VALUE |
|-------------------------------------|-----------|------------|
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

U.S. SAVINGS BONDS:

| TYPE OF BOND+ | ISSUE DATE | SERIAL NUMBER | OWNER(S)* | FACE VALUE |
|---------------|------------|---------------|-----------|------------|
| _____ | _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)
 +Series E (E), Series H (H), Savings Notes (SN)

U. S. TREASURY DIRECT:

Please list all U.S. Treasury Investments where your purchase was made through a Treasury Direct Account. If you have multiple accounts, please file Form PD5178 to consolidate these accounts prior to transferring to your Revocable Trust.

| ACCOUNT NAME | ACCOUNT NUMBER | OWNER(S)* | FACE VALUE |
|--------------|----------------|-----------|------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

BEARER BONDS:

| TYPE OF BOND AND ADDRESS FOR NOTICE | LOCATION | OWNER(S)* | FACE VALUE |
|-------------------------------------|----------|-----------|------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

| |
|------------------------------|
| PARTNERSHIP INTERESTS |
|------------------------------|

LIMITED PARTNERSHIPS:

For each limited partnership, list all your general and/or limited partnership interests. Please provide complete address information for notices to the Partnership for change of ownership.

| PARTNERSHIP NAME AND ADDRESS | GENERAL PARTNER | LIMITED PARTNER | OWNER(S)* | VALUE |
|------------------------------|-----------------|-----------------|-----------|----------|
| _____ | _____ % | _____ % | _____ | \$ _____ |
| _____ | | | | |
| _____ | | | | |
| _____ | _____ % | _____ % | _____ | \$ _____ |
| _____ | | | | |
| _____ | | | | |
| _____ | _____ % | _____ % | _____ | \$ _____ |
| _____ | | | | |
| _____ | | | | |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

GENERAL PARTNERSHIPS:

For each general partnership, list your partnership interests. Please provide complete address information for notices to the Partnership for change of ownership.

| PARTNERSHIP NAME AND ADDRESS | GENERAL PARTNER | OWNER(S)* | VALUE |
|------------------------------|-----------------|-----------|----------|
| _____ | _____ % | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ % | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ % | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

BUSINESS INTERESTS

CORPORATE BUSINESS INTERESTS:

Please provide complete address information for notices to the business for change of ownership.

| COMPANY NAME AND ADDRESS | NUMBER OF SHARES | PERCENTAGE OWNERSHIP | BUY/SELL+ | OWNER(S)* | VALUE |
|--------------------------|------------------|----------------------|-----------|-----------|----------|
| _____ | _____ | _____% | _____ | _____ | \$ _____ |
| _____ | _____ | _____% | _____ | _____ | \$ _____ |
| _____ | _____ | _____% | _____ | _____ | \$ _____ |
| _____ | _____ | _____% | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)
 +Please indicate if there is a Buy/Sell Agreement

SOLE PROPRIETORSHIPS:

| NAME OF BUSINESS | DESCRIPTION OF BUSINESS | OWNER(S)* | VALUE |
|------------------|-------------------------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

REAL PROPERTY INTERESTS

Please list all real property that you own, including Time Shares or Oil and Gas Interests for which you have a deed. (Land or buildings owned inside a formal partnership should be listed under the partnership section). If you have Trust Deed(s), please enter those in the "Mortgages and Deeds of Trust" section on the following pages. Please provide a copy of each deed or land sale contract, and the tax bill, to real property you own.

| ADDRESS AND/OR GENERAL DESCRIPTION | TYPE+ | OWNER(S)* | LOANS | FAIR MARKET VALUE |
|------------------------------------|-------|-----------|----------|-------------------|
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ | \$ _____ |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*
 +Type of Deed: Grant Deed (G), Warranty Deed (W), Quitclaim Deed (Q), Land Sale Contract (L)

OIL AND GAS INTERESTS:

Please list all leases, overriding royalty, fee mineral estate, working interest, pooling agreement, etc. Use the "Real Property Interests" section for all oil and gas interests for which you hold a deed. Provide copies of title for each oil and gas interest.

| DESCRIPTION, AND OIL/GAS LESEE NAME AND ADDRESS | OWNER(S)* | ESTIMATED VALUE |
|---|-----------|-----------------|
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

MORTGAGES AND DEEDS OF TRUST:

List all notes owed to you and secured by an interest in real estate. Please provide copies of each mortgage and/or deed of trust.

| NAME OF DEBTOR | DATE OF NOTE | OWED TO* | CURRENT BALANCE OWED |
|----------------|--------------|----------|----------------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

LEASES:

Please list all real estate leases in which you are the lessor. This does not include houses, condominiums, or apartments you are leasing on a month-to-month basis.

| PROPERTY DESCRIPTION | NAME AND ADDRESS OF LESSEE | OWNER(S)* | ANNUAL RENTS |
|----------------------|----------------------------|-----------|--------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

TIME SHARES:

Please list all time share interests for which you do not have a deed, and provide a copy of ownership documents. Please list the Time Shares for which you have a deed in the "Real Property Interests" section above. Enter the address to which change of ownership should be directed.

| PROPERTY NAME AND ADDRESS | DEVELOPMENT OWNER(S) | OWNER(S)* | VALUE |
|---------------------------|----------------------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

NOTES RECEIVABLE

If the note is secured by a Real Property Interest, please list the real property in the "Real Property Interests" section above.

| NAME AND ADDRESS OF DEBTOR | DATE OF NOTE | OWNER(S)* | NOTE AMOUNT |
|----------------------------|--------------|-----------|-------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |
| _____ | _____ | _____ | \$ _____ |
| _____ | | | |
| _____ | | | |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

LIFE INSURANCE

Company: _____ Policy Number: _____

Address: _____ City, State, Zip: _____

Insured: _____ Type*: _____ Face Amount: \$ _____

Owner: _____ Cash Value: \$ _____

Primary Beneficiary: _____ Secondary Beneficiary: _____

** Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

Company: _____ Policy Number: _____

Address: _____ City, State, Zip: _____

Insured: _____ Type*: _____ Face Amount: \$ _____

Owner: _____ Cash Value: \$ _____

Primary Beneficiary: _____ Secondary Beneficiary: _____

** Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

Company: _____ Policy Number: _____

Address: _____ City, State, Zip: _____

Insured: _____ Type*: _____ Face Amount: \$ _____

Owner: _____ Cash Value: \$ _____

Primary Beneficiary: _____ Secondary Beneficiary: _____

** Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

Company: _____ Policy Number: _____

Address: _____ City, State, Zip: _____

Insured: _____ Type*: _____ Face Amount: \$ _____

Owner: _____ Cash Value: \$ _____

Primary Beneficiary: _____ Secondary Beneficiary: _____

** Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

Company: _____ Policy Number: _____

Address: _____ City, State, Zip: _____

Insured: _____ Type*: _____ Face Amount: \$ _____

Owner: _____ Cash Value: \$ _____

Primary Beneficiary: _____ Secondary Beneficiary: _____

** Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

RETIREMENT PLANS

INVESTMENT RETIREMENT ACCOUNTS (IRAS):

| COMPANY NAME AND ADDRESS FOR NOTICE | OWNER(S)* | ACCOUNT NUMBER & DEATH BENEFICIARY | VALUE |
|-------------------------------------|-----------|------------------------------------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | |
| _____ | _____ | _____ | |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

ANNUITIES:

Administrator: _____ Contract Number: _____
 Address: _____ City, State, Zip: _____
 Owner/Annuitant: _____ Type*: _____ Annuity Amount: \$ _____
 Lifetime Beneficiary: _____ Death Beneficiary: _____

** Immediate Annuity (I), Deferred Annuity (D)*

Company: _____ Contract Number: _____
 Address: _____ City, State, Zip: _____
 Owner/Annuitant: _____ Type*: _____ Annuity Amount: \$ _____
 Lifetime Beneficiary: _____ Death Beneficiary: _____

** Immediate Annuity (I), Deferred Annuity (D)*

QUALIFIED PLANS (401(K), 403(B), PENSION PLANS):

| COMPANY NAME AND ADDRESS | TYPE+ | OWNER(S)* | DEATH BENEFICIARY | % VESTED | VALUE |
|--------------------------|-------|-----------|-------------------|------------|-------|
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |
| _____ | _____ | _____ | _____ | _____ % \$ | _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)
 +Pension (P), Profit Sharing (PS), Keogh (K), Other (O)

INTELLECTUAL PROPERTY INTERESTS

Please list all REGISTERED copyright, trademark, patent and royalty interests. If you have not registered any of these interests, please see the "Informational Items" section at the end of this booklet.

NOTE: If you have licensed or assigned any rights to your registered intellectual properties, please discuss these items with the attorney before transferring them into your trust. Provide copies of any license or assignment agreements you have signed.

| BRIEF DESCRIPTION | TYPE+ | CERTIFICATE NUMBER | CERTIFICATE DATE | OWNER(S)* |
|-------------------|-------|--------------------|------------------|-----------|
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*
 +Registered Copyright (C), Registered Trademark (T), Registered Patent (P), or Royalty Agreement (R). No Certificate # Required for Royalty Agreement.

LAWSUIT JUDGMENTS

Please list all judgments where you have been awarded money damages in a court proceeding. Enter the name and address of the judgment debtor.

| CASE NUMBER | COURT | OWNER(S)* | JUDGMENT DEBTOR | JUDGMENT |
|----------------------------|-------|-----------|-----------------|----------|
| _____ | _____ | _____ | _____ | \$ _____ |
| STATE & COUNTY: | _____ | _____ | _____ | |
| | | | _____ | |
| _____ | _____ | _____ | _____ | \$ _____ |
| STATE & COUNTY: | _____ | _____ | _____ | |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

ASSETS WITH REGISTERED TITLE

| DESCRIPTION (YEAR, MAKE AND MODEL) | TYPE+ | REGISTRATION NUMBER | OWNER(S)* | NET MARKET VALUE |
|------------------------------------|-------|---------------------|-----------|------------------|
| _____ | _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

+ Vehicle (V), Pleasure Boat registered with State Department of Motor Vehicles (PB), Large Vessel registered with Coast Guard (LV), Aircraft (AC), Mobile Home registered with State Department of Motor Vehicles (MHS), or Mobile Home registered with HUD (MHH), Registered Farm Equipment/Implements (FE)

MISCELLANEOUS ASSETS

PERSONAL PROPERTY:

Please list all valuable art, jewelry, furniture, collections or other personal items with an individual value exceeding \$5,000 or for which you have an appraisal.

| PROPERTY DESCRIPTION | APPRAISAL+ | OWNER(S)* | VALUE |
|----------------------|------------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

+Written appraisal of value exists (Y), No written appraisal exists (N).

BURIAL PLOTS:

Please list all burial plots or contracts with cemeteries for burial, and include the address to which change of ownership should be directed. If you have a Deed to the actual land, it should be listed in the "Real Property Interests" section above.

| NAME AND ADDRESS OF BURIAL PLOT LOCATION | TYPE+ | OWNER(S)* | VALUE |
|--|-------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)
+Owns the actual land (O), Contract with cemetery (C).

BURIAL DEEDS:

Please list all burial deeds, and include the address to which change of ownership should be directed.

| NAME AND ADDRESS OF BURIAL DEED | TYPE+ | OWNER(S)* | VALUE |
|---------------------------------|-------|-----------|----------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | \$ _____ |

*Husband alone (H), Wife alone (W), or both as Joint Tenants (JT), Tenants in Common (TC), Tenants by Entireties (TE) or Community Property (CP)

MEMBERSHIPS (CLUBS):

Please list all memberships that have monetary value and permit your interest to be transferred. Enter the address to which change of ownership should be directed.

| DESCRIPTION AND ADDRESS | OWNER(S)* | VALUE |
|-------------------------|-----------|----------|
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

OTHER ASSETS:

| DESCRIPTION | OWNER(S)* | VALUE |
|-------------|-----------|----------|
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |
| _____ | _____ | \$ _____ |

**Client alone (C), Joint Tenants (JT), Tenants in Common (TC)*

INFORMATIONAL ITEMS:

Please answer the following questions, which will allow us to provide you with specific information about your estate plan.

1. DO YOU OWN A PROFESSIONAL CORPORATION? YES NO
2. DO YOU OWN ANY UNREGISTERED COPYRIGHTS, TRADEMARKS, PATENTS OR ROYALTY AGREEMENTS? YES NO
3. DO YOU OWN ANY FARM OR RACING ANIMALS THAT ARE UNREGISTERED? YES NO
4. DO YOU HAVE ANY CIVIL LAWSUITS PENDING AGAINST YOU? YES NO

ESTATE VALUE SUMMARY

| ASSET DESCRIPTION | GROSS FAIR MARKET VALUE | LOANS, LIENS & ENCUMBRANCES | NET FAIR MARKET VALUE | OWNERS* |
|---------------------------------|----------------------------|--------------------------------|--------------------------|---------|
| CASH ACCOUNTS | | | | |
| Checking Accounts | | | | |
| Savings Accounts | | | | |
| Money Market Accounts | | | | |
| Certificates of Deposit | | | | |
| INVESTMENT SECURITIES | | | | |
| Brokerage Accounts | | | | |
| Stocks | | | | |
| Dividend Reinvestment | | | | |
| Mutual Funds | | | | |
| Bonds (Corporate and Municipal) | | | | |
| U.S. Savings Bonds | | | | |
| U.S. Treasury Direct | | | | |
| Bearer Bonds | | | | |
| PARTNERSHIP INTERESTS | | | | |
| Limited Partnerships | | | | |
| General Partnerships | | | | |
| BUSINESS INTERESTS | | | | |
| Corporate Business Interests | | | | |
| Sole Proprietorships | | | | |
| REAL PROPERTY INTERESTS | | | | |
| Deeds | | | | |
| Oil and Gas Interests | | | | |
| Mortgages and Deeds of Trust | | | | |
| Leases | | | | |
| Time Shares | | | | |
| NOTES RECEIVABLE | | | | |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)

| ASSET DESCRIPTION | GROSS FAIR MARKET VALUE | LOANS, LIENS & ENCUMBRANCES | NET FAIR MARKET VALUE | OWNERS* |
|---------------------------------|-------------------------|-----------------------------|-----------------------|---------|
| LIFE INSURANCE (FACE VALUE) | | | | |
| RETIREMENT PLANS | | | | |
| IRAs | | | | |
| ANNUITIES | | | | |
| QUALIFIED (PENSION) PLANS | | | | |
| INTELLECTUAL PROPERTY INTERESTS | | | | |
| Registered Copyrights | | | | |
| Registered Trademarks | | | | |
| Registered Patents | | | | |
| Royalty Agreements | | | | |
| LAWSUIT JUDGMENTS | | | | |
| ASSETS WITH REGISTERED TITLE | | | | |
| Vehicles | | | | |
| Pleasure Boats | | | | |
| Large Vessels | | | | |
| Aircraft | | | | |
| Mobile Homes | | | | |
| Farm Equipment | | | | |
| MISCELLANEOUS ASSETS | | | | |
| Personal Property | | | | |
| Burial Plots | | | | |
| Memberships | | | | |
| Other Assets | | | | |
| TOTAL | | | | |

*Client alone (C), Joint Tenants (JT), Tenants in Common (TC)